SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

	3233-0207
Estimated average bu	rden
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	ss of Reporting Pers	on [*]	2. Issuer Name and Ticker or Trading Symbol Fortune Brands Home & Security, Inc.	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
KLEIN CHR	<u>ISTOPHER J</u>		FBHS]	X	Director	10% Owner			
				X	Officer (give title below)	Other (specify below)			
(Last) (First) (Middle) 520 LAKE COOK ROAD			3. Date of Earliest Transaction (Month/Day/Year) 12/31/2020	Executive Chairman					
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group Filir	ng (Check Applicable			
DEERFIELD	IL	60015		X	Form filed by One Rep	, i i i i i i i i i i i i i i i i i i i			
(City)	(State)	(Zip)			Form filed by More than One Reporting Person				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock, Par Value \$0.01	12/15/2020		G ⁽¹⁾	v	47,600	D	\$0.00	145,911 ⁽²⁾	D	
Common Stock, Par Value \$0.01	12/31/2020		F ⁽³⁾		9,625	D	\$85.72	136,286 ⁽⁴⁾	D	
Common Stock, Par Value \$0.01	12/15/2020		G ⁽¹⁾	v	47,600	А	\$0.00	88,000	I	By trusts held for the benefit of Mr. Klein's heirs

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Reflects the gift of shares made by Mr. Klein to trusts held for the benefit of his heirs.

2. Includes a total of 54,540 restricted stock units that have not yet vested.

3. Reflects the withholding by the issuer of shares having a fair market value equal to the withholding taxes payable by the undersigned at the time the award vested and became payable, such transaction being exempt under Rule 16b-3(e).

4. Includes a total of 32,815 restricted stock units that have not yet vested.

Remarks:

/s/Angela M. Pla, Attorney-in-Fact for Christopher J. Klein 01/04/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.